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Office	Action	Summary	PATER
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Alan Cariaso TOHNS OF THE THE TOP TO THE TOP T X. Responsive to communication is filed on Jul 9, 1997 This action is Make. 34M1/1017 Sinc DAVID of Marchite Edition ESQ for allowable oxcept for formal natibis, placed BRYAN, CAVENLL Botton 1998 1999 1995 C.D. 11/453 O.G. 113 NEW YORK NY 10167-0034 (Section of Section o 245 PARK AVENUE The statement of the money to this 37 (74) + 176(a). DATE MAILED: Disposit to of Clauda .000 di armis' i dai 9 are 1 191 It the above, claim Claim(s)
Please find below and/or attached an Office communication concerning this application or proceeding. 42 Claim s. Commissioner of Patents and Trademarks "Caper Application Papers . See the any in a Nation of Paritoperson's Indian Diswing Review, PTO-948. The oracle of a lifed to a . Face objected to by the Examiner The new aser is the Coursette of fled on disap, w X. The more that he is object to be the Examine. The out in the region is branche to by the firm the Priority under 35 U.S.C. 8 (19) Acknowler as interesting the or air form for coreing priority ander 35 U.S.C. § 119 kg-for School 1999 the CERMIFIED copies of the priority documents have been remaining the management of the San as CoderSenal Number! he and this is long stage application from the international Buresu (PCT Bule 17 dtail TERRITOR PROST THE THE ARE

Attachment(s)

X Rose - of References Titles - till bles

A Principal Coconosure Statisticantes of 3 1463 Paper No. 3).

Acknowledge from a make the dam for domestic priority under 35 0.S.C. § 119rs.

Interview Summary, PTO 413.

Notice of Diatoperson's Piceric Diavic a Makiew, PTO-948

. . Notice of Informal Patent Application, 210, 157

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## Office Action Summary

Application No. 08/519,200 Applicant(s)

Examiner

Alan Cariaso

Group Art Unit

3406

Johnson



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X Responsive to communication(s) filed on Jul 9, 1997	
☐ This action is <b>FINAL</b> .	
☐ Since this application is in condition for allowance except for in accordance with the practice under <i>Ex parte Quayle</i> , 193	
A shortened statutory period for response to this action is set t is longer, from the mailing date of this communication. Failure application to become abandoned. (35 U.S.C. § 133). Extens 37 CFR 1.136(a).	to respond within the period for response will cause the
Disposition of Claims	
	is/are pending in the application.
Of the above, claim(s) 1-22	is/are withdrawn from consideration.
Claim(s)	
	is/are rejected.
Claim(s)	is/are objected to.
☐ Claims	are subject to restriction or election requirement.
Application Papers	
☐ See the attached Notice of Draftsperson's Patent Drawin	
☐ The drawing(s) filed on is/are object	ted to by the Examiner.
☐ The proposed drawing correction, filed on	is approved disapproved.
$oxed{oxed}$ The specification is objected to by the Examiner.	·
$\hfill\Box$ The oath or declaration is objected to by the Examiner.	
Priority under 35 U.S.C. § 119	
$\ \square$ Acknowledgement is made of a claim for foreign priority	under 35 U.S.C. § 119(a)-(d).
☐ All ☐ Some* ☐ None of the CERTIFIED copies of	of the priority documents have been
received.	
received in Application No. (Series Code/Serial Nu	mber)
$\square$ received in this national stage application from the	
*Certified copies not received:	
☐ Acknowledgement is made of a claim for domestic priori	ty under 35 U.S.C. § 119(e).
Attachment(s)	
Notice of References Cited, PTO-892	
☐ Information Disclosure Statement(s), PTO-1449, Paper N	lo(s)
☐ Interview Summary, PTO-413	
☐ Notice of Draftsperson's Patent Drawing Review, PTO-9	48
☐ Notice of Informal Patent Application, PTO-152	
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SEE OFFICE ACTION ON	THE FOLLOWING DACES

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#### DETAILED ACTION

### Response to Amendment

### Specification

1. The specification is objected to as failing to provide proper antecedent basis for the claimed subject matter. See 37 CFR 1.75(d)(1) and MPEP § 608.01(o). Correction of the following is required: Descriptions of the "first type of lighting condition" being "sunlight" and the "second type of lighting condition" being "absence of sunlight", as recited in claim 31, and the corresponding result of "the second transmittance state" being higher than the "first transmittance state", as recited in claims 31 and 32, all have no support in the specification.

### Claim Rejections - 35 USC § 112

2. Claims 31-35 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 31 and 32, the "second transmittance state" being higher than the "first transmittance state" and the "second transmittance state" being a result of the "second type of

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lighting condition" being the "absence of sunlight" are vague and indefinite since they imply that a higher energized state is a result of the absence of the energy source which is impossible.

### Claim Rejections - 35 USC § 103

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 4. Claims 23, 24, 26-33, 35-37, and 39-42 are rejected under 35 U.S.C. 103(a) as being unpatentable over Todd, Jr. et al in view of Blum.

Todd discloses a device comprising an electromagnetic source (12), a photosensitive layer (3) at least partially covering the light source (12), the photosensitive layer (3) having a first low transmittance state (col.4, lines 41-46) under ambient interior lighting conditions changeable to a second higher transmittance state (col. 4, lines 46-51) upon illumination of electromagnetic radiation from the light source (12), wherein the first transmittance state is a first color appearance (fig.3A) and the second transmittance state is a different color appearance (fig.3B), wherein the photosensitive layer comprises a material equivalent to photochromic material (col.1, lines 42-51). However, Todd does not disclose a housing.

Blum teaches the use of a housing (10) for the purpose of supporting a photosensitive (CRT) tube. It would have been obvious to one having ordinary skill in the art at the time of

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applicant's invention to provide the photosensitive tube device of Todd Jr et al including a housing as taught by Blum in order to support the tube device.

Todd discloses the claimed invention except the first lighting condition being sunlight and the second lighting condition being the absence of sunlight. It would have been obvious to one having ordinary skill in the art at the time of applicant's invention to include sunlight as the high ambient light source as the first lighting condition of Todd (col.4, lines 41-46) and the absence of sunlight as the second lighting condition or Todd's low ambient condition (col. 4, lines 46-51) for the purpose of changing the state of the photosensitive layer between the first and second transmittance states. Official Notice is take of the equivalence of Todd's high and low ambient light conditions and sunlight and absence of sunlight for their use in the light discharge art and the selection of any of these known equivalents to change the state of the photosensitive layer between the first and second transmittance states would be within the level of ordinary skill in the art.

5. Claims 23-27, 29-35, and 37-42 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi et al in view of Nilssen.

Kobayashi discloses a photosensitive interior lighting fixture comprising a light source (1), a photosensitive layer (4,5 or inner fluorescent phosphor in tube 1) at least partially covering the light source (1), the photosensitive layer (4,5 or fluorescent phosphor) a first low transmittance state under ambient interior lighting conditions (col.3, lines 22-35) changeable to a second higher

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transmittance state (col.1, lines 57-68; col. 4, lines 63-66) upon illumination of electromagnetic radiation from the light source (1), wherein the first transmittance state is a first color appearance (lambda-A,B, figs. 12 and 13) and the second transmittance state is transparent (lambda wavelength = 0) or a different color appearance (figs. 12 and 13), and wherein the ambient interior light conditions define external lighting which inherently include sunlight (col.3, lines 22-35). However, Kobayashi does not disclose a housing being a track light fixture.

Nilssen teaches the use of a track light fixture housing (STLU4, figs. 3 and 4) for the purpose of supporting a photosensitive or fluorescent tube. It would have been obvious to one having ordinary skill in the art at the time of applicant's invention to provide the photosensitive tube device of Kobayashi et al including a track light fixture housing as taught by Nilssen in order to support the tube device.

#### Conclusion

6. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Morimoto et al and Giddens et al show other photosensitive objects part of the path of light from a light source which automatically changes from a lower state to higher excited state upon exposure to the electromagnetic radiation.

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Any inquiry concerning this communication or earlier communications from the examiner 7. should be directed to Alan Cariaso whose telephone number is (703) 308-1952.

AC

October 10, 1997